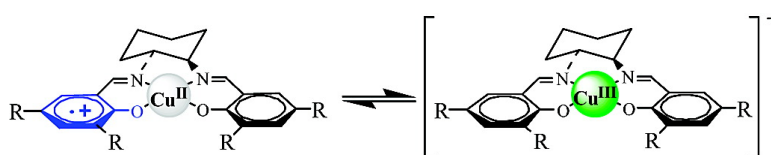


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**Ligand-radical or High-valent metal?**

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## Defining the Electronic and Geometric Structure of One-Electron Oxidized Copper–Bis-phenoxide Complexes

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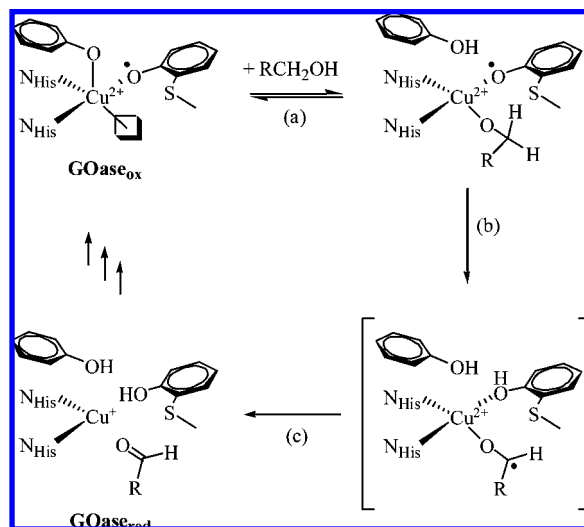
Received June 9, 2008; E-mail: ewasinger@csuchico.edu; yshima@mx.ibaraki.ac.jp; stack@stanford.edu

**Abstract:** The geometric and electronic structure of an oxidized Cu complex ( $[\text{CuSal}]^+$ ; **Sal** = *N,N*-bis(3,5-di-*tert*-butylsalicylidene)-1,2-cyclohexane-(1*R*,2*R*)-diamine) with a non-innocent salen ligand has been investigated both in the solid state and in solution. Integration of information from UV–vis–NIR spectroscopy, magnetic susceptibility, electrochemistry, resonance Raman spectroscopy, X-ray crystallography, X-ray absorption spectroscopy, and density functional theory calculations provides critical insights into the nature of the localization/delocalization of the oxidation locus. In contrast to the analogous Ni derivative  $[\text{NiSal}]^+$  (Storr, T.; et al. *Angew. Chem., Int. Ed.* **2007**, *46*, 5198), which exists solely in the Ni(II) ligand-radical form, the locus of oxidation is metal-based for  $[\text{CuSal}]^+$ , affording exclusively a Cu(III) species in the solid state (4–300 K). Variable-temperature solution studies suggest that  $[\text{CuSal}]^+$  exists in a reversible spin-equilibrium between a ligand-radical species  $[\text{Cu(II)Sal}]^+$  ( $S = 1$ ) and the high-valent metal form  $[\text{Cu(III)Sal}]^+$  ( $S = 0$ ), indicative of nearly isoenergetic species. It is surprising that a bis-imine–bis-phenolate ligation stabilizes the Cu(III) oxidation state, and even more surprising that in solution a spin equilibrium occurs without a change in coordination number. The oxidized tetrahydrosalen analogue  $[\text{CuSal}^{\text{red}}]^+$  (**Sal**<sup>red</sup> = *N,N*-bis(3,5-di-*tert*-butylhydroxybenzyl)-1,2-cyclohexane-(1*R*,2*R*)-diamine) exists as a temperature-invariant Cu(II)–ligand-radical complex in solution, demonstrating that ostensibly simple variations of the ligand structure affect the locus of oxidation in Cu–bis-phenoxide complexes.

### 1. Introduction

The interplay of redox-active transition metal ions and pro-radical ligands in metalloenzyme sites is an area of considerable research interest.<sup>1</sup> Galactose oxidase (GOase) is the archetypical example,<sup>2</sup> catalyzing the aerobic oxidation of primary alcohols to aldehydes via two one-electron cofactors: a copper atom and a cysteine-modified tyrosine residue. The consensus mechanism involves an oxidized form (GOase<sub>ox</sub>) that contains a Cu(II)–tyrosine radical, which binds the alcoholic substrate in an exchangeable equatorial position (Scheme 1). Subsequent hydrogen atom abstraction and electron transfer lead to the formation of the aldehyde product and the reduced form of the enzyme (GOase<sub>red</sub>). Due to the apparent simplicity of the GOase active site, and the importance of selective and “green” alcohol oxidation catalysts, much effort has been devoted to the synthesis of structural and functional small-molecule models of this enzyme.<sup>3–8</sup> This research has led to an improved understanding of the interaction between transition metals and non-innocent ligands.<sup>9–12</sup>

**Scheme 1.** Consensus Mechanism for the Substrate-Oxidizing Half-Reaction of GOase<sup>a</sup>



<sup>a</sup> (a) Binding of the alcohol substrate to the exchangeable equatorial position on Cu and deprotonation by the axial tyrosine ligand. (b) Hydrogen atom abstraction from the substrate to the organic radical cofactor, forming a ketyl radical intermediate. (c) Electron transfer from the bound substrate to Cu and formation of the aldehyde product.

We have focused our efforts on the copper–bis-phenoxide complexes **CuSal** and **CuSal**<sup>red</sup> (Scheme 2) as small-molecule

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(1) Stubbe, J.; van der Donk, W. A. *Chem. Rev.* **1998**, *98*, 705.

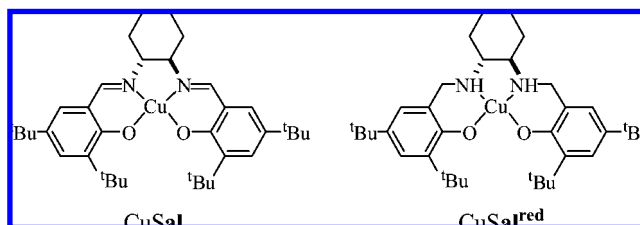
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GOase models.<sup>3,4</sup> These two square-planar complexes are structurally similar; however, the phenolates of the reduced derivative (CuSal<sup>red</sup>) are more basic due to reduction of the imine functions. The one-electron oxidized forms [CuSal]<sup>+</sup> and [CuSal<sup>red</sup>]<sup>+</sup> display interesting NIR features (CH<sub>2</sub>Cl<sub>2</sub>; [CuSal]<sup>+</sup>, 5700 cm<sup>-1</sup>; [CuSal<sup>red</sup>]<sup>+</sup>, 6250 cm<sup>-1</sup>)<sup>3</sup> and act as stoichiometric oxidants of benzyl alcohol yielding benzaldehyde, mimicking the substrate oxidizing half-reaction of GOase. Interestingly, [CuSal<sup>red</sup>]<sup>+</sup> reacts approximately 10 times faster as compared to [CuSal]<sup>+</sup>, even though [CuSal<sup>red</sup>]<sup>+</sup> is a significantly weaker oxidant (CH<sub>2</sub>Cl<sub>2</sub>, ΔE<sub>ox</sub> = 370 mV).<sup>3</sup> Kinetic studies suggest that the enhanced reactivity of [CuSal<sup>red</sup>]<sup>+</sup> is due to a substrate binding equilibrium process, analogous to substrate binding steps observed for many enzymes, including GOase; [CuSal]<sup>+</sup> by contrast reacts by a simple bimolecular rate-limiting step. In this work, we have further investigated the electronic structure of [CuSal]<sup>+</sup> and [CuSal<sup>red</sup>]<sup>+</sup> in order to gain insight into this differential reactivity.

Tetradentate salen ligands (salen is a common abbreviation for N<sub>2</sub>O<sub>2</sub> bis-Schiff-base bis-phenolate ligands), and their reduced tetrahydrosalen analogues, have received considerable attention as ligand systems due to their relative ease of synthesis, ability to form stable complexes with many metals in a variety of oxidation states, similarity of ligating groups found in metalloproteins and enzymes, and versatility as catalysts for important organic transformations.<sup>13</sup> Without the use of *ortho* and *para* phenolate protecting groups to prevent radical coupling, oxidized metal–salen complexes are prone to rapid polymerization, restricting study of the oxidized species.<sup>14</sup> More recent work has focused on investigating the electronic structure of suitably protected oxidized metal salens and tetrahydrosalens, determining the factors that control the locus of oxidation in these complexes.<sup>6,15–20</sup> Insight from this and other work<sup>21</sup> has

Scheme 2. Structures of CuSal and CuSal<sup>red</sup>

led to the recent development of small-molecule systems that exploit ligand non-innocence for catalytic applications.<sup>22</sup>

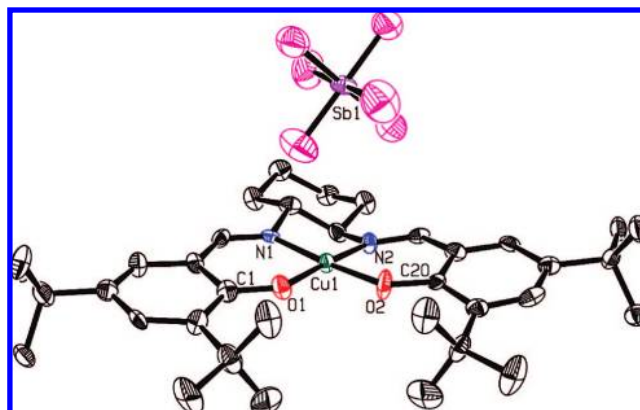
Depending on the relative energies of the redox-active orbitals, metal complexes with pro-radical ligands can exist in one of two limiting descriptions: a metal–ligand-radical ( $M^{n+}(L^{\bullet})$ ) or a high-valent metal complex ( $M^{(n+1)+}(L^-)$ ). In the absence of exogenous ligands, oxidized Ni salens exist in

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the ligand-radical form, and we have recently characterized  $[\text{NiSal}]^+\text{SbF}_6^-$  in the solid state.<sup>18</sup> In the presence of coordinating counterions and/or solvent, the complex exists as a high-valent Ni(III) complex,  $[\text{NiSal}(\text{L})_2]^+$ , revealing that modulation of the ligand field via axial ligand binding can shift the locus of oxidation within the complex. We have now investigated the electronic structure of the analogous  $[\text{CuSal}]^+$  derivative, which could also potentially exist in either a ligand-radical or high-valent metal form. Due to the specific geometric preferences of Ni and Cu, the factors governing the locus of oxidation differ. While Ni(III)  $d^7$  complexes are stabilized generally in an octahedral environment, a compact square-planar ligand geometry is much preferred for Cu(III)  $d^8$  complexes.<sup>23</sup> Indeed, the majority of reported Cu(III) complexes are four-coordinate and employ a combination of polarizable anionic ligands such as carboxylates,<sup>24</sup> thiolates,<sup>25</sup> deprotonated amides,<sup>26–28</sup> carbamates,<sup>29</sup> and N-confused porphyrins.<sup>30</sup> Only a small number of nonplanar Cu(III) complexes have been reported.<sup>31</sup> Recent interest in the stabilization of the Cu(III) oxidation state stems from the synthesis and reactivity of bis( $\mu$ -oxo)dicopper(III) complexes<sup>32,33</sup> and the isolation of Cu(III) intermediates in organocopper chemistry<sup>34</sup> as well as in a functional tyrosinase model system.<sup>35</sup>

While the salen ligand system provides a dianionic square-planar environment capable of stabilizing a Cu(III) oxidation state, the lack of sufficient anionic and highly polarizable donor groups is thought to preclude access to this metal oxidation state.

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**Figure 1.** ORTEP representation (50% probability) of  $[\text{CuSal}]^+\text{SbF}_6^-$ , excluding hydrogen atoms. Selected interatomic distances (Å) and angles (deg): Cu(1)–O(1), 1.831(6); Cu(1)–O(2), 1.838(6); Cu(1)–N(1), 1.877(6); Cu(1)–N(2), 1.880(6); N(1)–C(7), 1.290(9); N(2)–C(14), 1.292(10); O(1)–C(1), 1.317(10); O(2)–C(20), 1.317(9); O(1)–Cu(1)–O(2), 86.5(3); O(1)–Cu(1)–N(1), 94.3(3); O(2)–Cu(1)–N(2), 93.8(3); N(1)–Cu(1)–N(2), 85.7(3).

**Table 1.** Selected Crystallographic Data for  $[\text{CuSal}]^+\text{SbF}_6^-$

formula	$\text{C}_{36}\text{H}_{52}\text{CuN}_2\text{O}_2\text{SbF}_6 \cdot \text{CH}_2\text{Cl}_2$	$\alpha$ , deg	90
fw	929.01	$\beta$ , deg	106.073(2)
dimensions, mm <sup>3</sup>	0.50 × 0.20 × 0.20	$\gamma$ , deg	90
space group	$C_2$	$\lambda(\text{Mo K}\alpha)$	0.71073
$T$ , K	173(2)	$V$ , Å <sup>3</sup>	8224(2)
$a$ , Å	37.646(6)	$Z$	8
$b$ , Å	9.892(2)	$D_{\text{calc}}$ , g cm <sup>-3</sup>	1.501
$c$ , Å	22.981(4)	goodness of fit	1.050
		$R1^a$ [ $I > 2\theta(I)$ ]	0.0556
		$wR2^b$	0.1394

$$^a R1 = \sum |F_o| - |F_c| / \sum |F_o|; \quad ^b wR2 = [\sum w(F_o^2 - F_c^2)^2 / \sum w(F_o^2)^2]^{1/2}.$$

By a combination of experiments and calculations, we now show that  $[\text{CuSal}]^+$  exists as a Cu(III) complex in the solid state at 300 K, yet is involved in a temperature-dependent equilibrium between ligand-radical and high-valent metal forms in solution, demonstrating the nearly isoenergetic nature of these two electronic states.

## 2. Results and Analysis

**2.1. Synthesis and Solid-State Characterization of  $[\text{CuSal}]^+\text{SbF}_6^-$ .** **2.1.1. Synthesis and Solid-State Structure of  $[\text{CuSal}]^+\text{SbF}_6^-$ .** Oxidation of  $\text{CuSal}$  to  $[\text{CuSal}]^+\text{SbF}_6^-$  was completed with either  $\text{AgSbF}_6$  ( $E_{1/2} = +650$  mV vs  $\text{Fc}/\text{Fc}^+$ ) or thianthrenyl ( $\text{Th}^+$ ) hexafluoroantimonate ( $E_{1/2} = +890$  mV vs  $\text{Fc}/\text{Fc}^+$ ) in  $\text{CH}_2\text{Cl}_2$ .<sup>36</sup> The resulting purple-colored solution is stable for days at room temperature in the absence of  $\text{O}_2$  and/or  $\text{H}_2\text{O}$ . Oxidation with  $\text{AgSbF}_6$  allows for clean isolation of the oxidized complex, free of oxidant byproduct. Indeed, single crystals of  $[\text{CuSal}]^+\text{SbF}_6^-$  suitable for X-ray structural analysis were isolated by vapor diffusion of pentane into a concentrated  $\text{CH}_2\text{Cl}_2$  solution of the complex prepared in this manner. The molecular structure of  $[\text{CuSal}]^+\text{SbF}_6^-$  is shown in Figure 1, and selected crystallographic data are presented in Table 1.

The angle between the  $\text{N}(1)\text{--Cu}(1)\text{--O}(1)$  and  $\text{N}(2)\text{--Cu}(1)\text{--O}(2)$  planes in  $[\text{CuSal}]^+$  is  $4.7^\circ$ , substantially reduced from the value

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**Table 2.** Comparison of the Experimental and Calculated Coordination Sphere Bond Lengths (Å) for CuSal<sup>37</sup> and [CuSal]<sup>+</sup>

bond	CuSal (exp)	CuSal <sup>a</sup> (calc)	[CuSal] <sup>±b</sup> (exp)	[CuSal] <sup>±a</sup> (singlet calc)	[CuSal] <sup>±a</sup> (triplet calc)
Cu1–O1	1.886(3)	1.896	1.841	1.840	1.892
Cu1–O2	1.887(3)				
Cu1–N1	1.915(4)	1.931	1.876	1.871	1.925
Cu1–N2	1.904(4)				

<sup>a</sup> Optimization results in a *C*<sub>2</sub>-symmetric structure; see Experimental Section for details. <sup>b</sup> Two [CuSal]<sup>±</sup> molecules exist in the unit cell; bond lengths are averages.

of 19.8° for the distorted square-planar CuSal.<sup>37</sup> A weak axial Cu–F interaction (2.76 Å) exists between the counterion and the metal center in [CuSal]<sup>±</sup>. Comparison of the metal–ligand bond lengths for CuSal and [CuSal]<sup>±</sup> shows that the coordination sphere is contracted in the oxidized complex (Table 2); on average the Cu–O and Cu–N bond lengths decrease by 0.04 and 0.03 Å respectively upon oxidation. This result is in contrast to the majority of reported metal–phenoxyl-radical complexes, in which the metal–phenoxyl bond lengthens, consistent with a reduction of the electron-donating ability of a phenoxyl ligand as compared to a phenolate.<sup>8,38</sup> Oxidation of the metal center to Cu(III), resulting in a decreased ionic radius for Cu and a gain in ligand-field stabilization energy, could explain the observed coordination sphere contraction for [CuSal]<sup>±</sup>.<sup>24–26,39</sup> The more rigorously square-planar structure for [CuSal]<sup>±</sup>, as compared to CuSal, also supports this conclusion.

**2.1.2. Theoretical Characterization.** Density functional theory (DFT) calculations on CuSal and [CuSal]<sup>±</sup> well reproduce the coordination sphere bond lengths including the coordination sphere contraction upon oxidation. At the B3LYP<sup>40</sup>/6-31g(d) level of theory for all atoms, the Cu coordination distances predicted by geometry optimization of CuSal and [CuSal]<sup>±</sup> ([Cu(III)Sal]<sup>±</sup>; singlet) match those of the X-ray structures within ±0.02 Å (Table 2). The coordination sphere contraction is consistent with the increased Mayer bond order<sup>41</sup> (2.7–3.2) between the Cu and the ligand fragments upon oxidation, signifying enhanced covalency in the oxidized form. Broken symmetry (BS) optimizations for [CuSal]<sup>±</sup> collapsed to a singlet solution in all cases, suggesting that the [Cu(III)Sal]<sup>±</sup> state is electronically lower in energy than the anti-ferromagnetically coupled [Cu(II)Sal]<sup>±</sup> state. Optimization of [CuSal]<sup>±</sup> as a triplet ([Cu(II)Sal]<sup>±</sup>) predicts a small increase in Mayer bond order (from 2.7 to 2.8) and an expanded coordination sphere in comparison to the experimental bond lengths for [CuSal]<sup>±</sup> (Table 2).

In order to better interpret the bonding changes upon oxidation, the *change* in electron donation between the metal and ligand fragments upon oxidation was analyzed using an

**Table 3.** Extended Charge Decomposition Analysis for MSal and [MSal]<sup>±</sup> (M = Ni, Cu)<sup>a</sup>

	α	β	total	α	β	total	lchange	
		<b>CuSal (S = 1/2)</b>			<b>[Cu(III)Sal]<sup>±</sup> (S = 0)</b>			
L→Cu	+1.592	+2.646	+4.238	+3.418	+3.418	+6.836	2.598	
Cu→L	-0.324	-0.320	-0.644	-0.536	-0.536	-1.072	0.428	
net			+3.601			+5.770		
		<b>[Cu(II)Sal]<sup>±</sup> (S = 1)</b>						
				+1.466	+3.510	+4.976	0.738	
				-0.186	-1.218	-1.404	0.760	
						+3.572		
		<b>NiSal (S = 0)</b>			<b>[Ni(II)Sal]<sup>±</sup> (S = 1/2)</b>			
L→Ni	+2.342	+2.342	+4.684	+2.450	+2.828	+5.278	0.594	
Ni→L	-0.534	-0.534	-1.068	-0.422	-1.300	-1.722	0.654	
net			+3.616			+3.554		

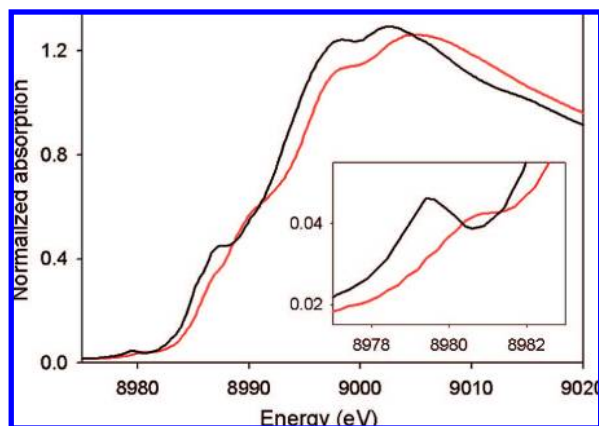
<sup>a</sup> Values are reported for the α- and β-manifolds in units of electrons, with positive (+) values indicating ligand-to-metal electron donation.<sup>42,44</sup>

extended charge decomposition analysis (ECDA) (Table 3).<sup>42–44</sup> In this ECDA analysis, the ligand-to-metal (L→M) electron donation is quantified by the contribution of the occupied fragment orbitals (OFOs) of the dianionic ligand to the unoccupied molecular orbitals (UMOs) of the complex.<sup>45</sup> Conversely, the metal-to-ligand (M→L) electron donation is quantified by the percentage of the unoccupied fragment orbitals (UFOs) of the ligand to the occupied molecular orbitals (OMOs) of the complex. ECDA analysis of both CuSal and [Cu(III)Sal]<sup>±</sup> shows that the overall electron donation from the ligand to Cu increases by ca. 2.2 electrons upon oxidation, consistent with the increased Mayer bond order calculated for [Cu(III)Sal]<sup>±</sup>. Although both donation (L→Cu) and back-donation (Cu→L) increase for [Cu(III)Sal]<sup>±</sup>, the former dominates, comprising ca. 85% of the lchange as shown in Table 3. In contrast to the analysis for [Cu(III)Sal]<sup>±</sup>, evaluation of the bonding for the DFT-optimized triplet species [Cu(II)Sal]<sup>±</sup> shows that both donation (L→Cu) and back-donation (Cu→L) increase by similar values upon oxidation to [Cu(II)Sal]<sup>±</sup>, such that the net electron donation remains effectively unchanged. ECDA analysis of NiSal and the one-electron oxidized ligand–radical complex, [Ni(II)Sal]<sup>±</sup>,<sup>18</sup> allows for a comparison of the electronic structure and bonding changes upon oxidation for both the Ni and Cu derivatives. Evaluation of the bonding for NiSal and the experimentally characterized ligand–radical complex, [Ni(II)Sal]<sup>±</sup>,<sup>18</sup> matches well with the analysis for [Cu(II)Sal]<sup>±</sup>, but not for [Cu(III)Sal]<sup>±</sup>, and highlights the differences in bonding associated with metal-based as opposed to ligand-based oxidation in these systems.

**2.1.3. Magnetic Properties.** The temperature-dependent magnetic susceptibility of CuSal displays a response typical for a simple d<sup>9</sup> Cu(II) paramagnet, and the data were fit to a Curie–Weiss law expression ( $C = 0.405$ ,  $\theta = -0.554$  K; Figure S1).<sup>48</sup> The data for a powdered crystalline sample of [CuSal]<sup>±</sup>SbF<sub>6</sub><sup>−</sup> show that this sample is essentially diamagnetic ( $\mu_{\text{eff}} = 0.3 \mu_{\text{B}}$ ) in the temperature range 5–300 K.<sup>48</sup> The [CuSal]<sup>±</sup>SbF<sub>6</sub><sup>−</sup> data were fit with a ca. 4% CuSal impurity,

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(45) The net polarization of the ligand and the metal is ca. 5–10% of the net charge transfer in all ECDA analyses in these complexes, and thus the contribution of polarization of the L→Cu and Cu→L as quantified here is ignored.



**Figure 2.** Cu K-edges for CuSal (black) and [CuSal]<sup>+</sup>SbF<sub>6</sub><sup>-</sup> (red). The inset amplifies the pre-edges (1s→3d transition).

**Table 4.** Pre-edge Energies and Intensities and EXAFS Fitting for CuSal and [CuSal]<sup>+</sup>

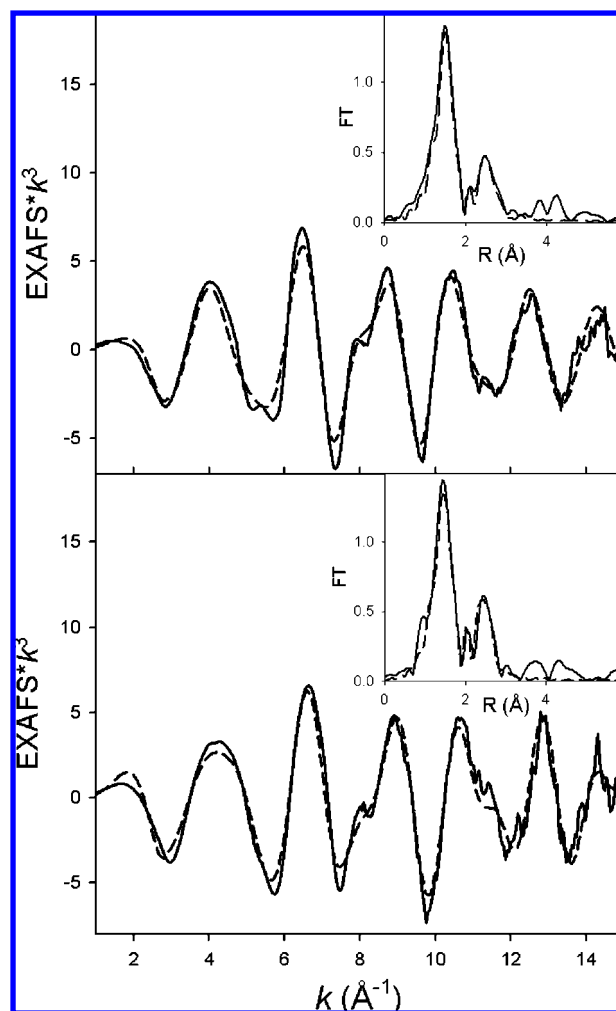
	pre-edge energy <sup>a</sup> (eV)	pre-edge intensity <sup>a</sup>	ligation	R (Å) <sup>48</sup>	σ <sup>2</sup> (Å <sup>2</sup> ) <sup>48</sup>
CuSal	8979.2(0.1)	3.1(0.1)	4 N/O	1.90	0.00266
[CuSal] <sup>+</sup>	8980.2(0.1)	1.9(0.4)	4 N/O	1.86	0.00285

<sup>a</sup> Pre-edge energies and intensities are derived from fits of the data; see Experimental Section for details. Numbers in parentheses are standard deviations for the measured quantities.

estimated from the low-temperature data, consistent with a small amount of decomposition in the solid-state sample. These results suggest that [CuSal]<sup>+</sup>SbF<sub>6</sub><sup>-</sup> in the solid state has a diamagnetic electronic ground state (*S* = 0) with no thermally accessible triplet state at 300 K.

**2.1.4. Cu K-Edge X-ray Absorption Spectroscopy (XAS).** Cu K-edge XAS was used to probe the metal oxidation state and structure of CuSal and [CuSal]<sup>+</sup> in the solid state. The Cu K-edge data for CuSal and [CuSal]<sup>+</sup> (Figure 2) show distinct differences, attributable to a change in metal oxidation state from Cu(II) to Cu(III) upon oxidation. The Cu K-edge 1s→3d transition, or pre-edge, is a successful indicator of copper oxidation state,<sup>33,46</sup> and the shift in the energy of the pre-edge feature from 8979.2 eV for CuSal to 8980.2 eV for [CuSal]<sup>+</sup> (Table 4) is consistent with a change in metal oxidation state from Cu(II) to Cu(III). The increased intensity of the 1s→3d transition for CuSal, as compared to [CuSal]<sup>+</sup>, suggests greater distortion from a square-planar geometry for the former; the dipole-forbidden, quadrupole-allowed 1s→3d transition gains intensity through 4p mixing into the 3d orbitals.<sup>47</sup>

Extended X-ray absorption fine structure (EXAFS) data and representative fits for CuSal and [CuSal]<sup>+</sup> are shown in Figure 3. For CuSal, the four N/O donors<sup>49</sup> of the salen ligand were fit with a single shell at a distance of 1.90 Å, comparing very well with the average X-ray and computational data in Table 2. Additionally, two parameters were required to fit the outer shell contributions to the EXAFS data: a shell of single scattering carbon atoms at 2.87 Å and multiple scattering from



**Figure 3.** CuSal (top) and [CuSal]<sup>+</sup>SbF<sub>6</sub><sup>-</sup> (bottom) EXAFS data (solid lines) and fits (dashed lines). Insets: Fourier transforms (FT) and fits of the respective data.

the same carbons at 3.12 Å. Only two contributions were needed to fit the EXAFS data for [CuSal]<sup>+</sup>. The Cu–N/O first shell distance was fit at 1.86 Å, which corresponds closely with the average of the X-ray data (Table 2), highlighting the coordination sphere contraction upon oxidation. In addition, a carbon single scattering wave was fit at 2.83 Å.

**2.1.5. Cu L-Edge XAS.** Due to the low intensities of the Cu K-edge pre-edge features,<sup>47</sup> Cu L-edge XAS was investigated, as the associated 2p→3d<sub>x<sup>2</sup>-y<sup>2</sup></sub> transitions are electric-dipole-allowed and typically result in well-resolved peaks.<sup>50,51</sup> Similarly to the Cu K-pre-edge position, the energies of the L-edge transitions are sensitive to the metal oxidation state. The Cu L-edge XAS data for CuSal, [CuSal]<sup>+</sup>, and the Cu(III) oxide La<sub>2</sub>Li<sub>0.5</sub>Cu<sub>0.5</sub>O<sub>4</sub><sup>52</sup> (Figure 4) exhibit two major features, corresponding to the L<sub>3</sub>-edge (ca. 930 eV, 2p<sub>3/2</sub>→3d<sub>x<sup>2</sup>-y<sup>2</sup></sub>) and the

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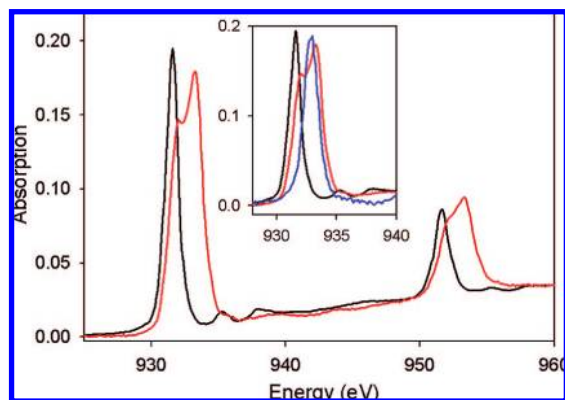
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**Figure 4.** Cu L-edge data of CuSal (black), and  $[\text{CuSal}]^+$  (red). The two major features are the  $L_3$ -edge (ca. 930 eV,  $2p_{3/2} \rightarrow 3d_{x^2-y^2}$ ) and the  $L_2$ -edge (ca. 950 eV,  $2p_{1/2} \rightarrow 3d_{x^2-y^2}$ ). The inset shows the expanded  $L_3$ -edge region for CuSal (black),  $[\text{CuSal}]^+$  (red), and  $\text{La}_2\text{Li}_{0.5}\text{Cu}_{0.5}\text{O}_4$  (blue).

**Table 5.** Cu L-Edge X-ray Absorption Spectroscopy Peak Energies (eV)

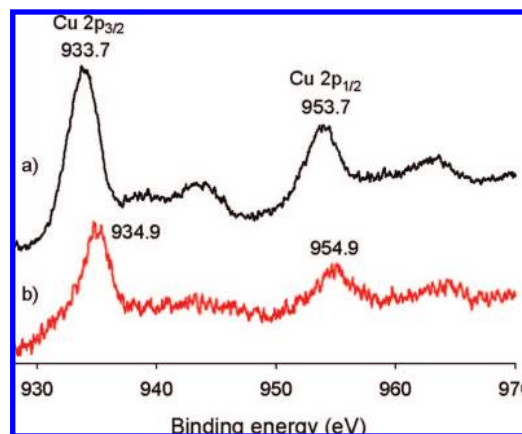
compound	$L_3$ -edge	$L_2$ -edge
$[\text{C}_4\text{H}_8\text{N}_3\text{O}]_2[\text{CuCl}_4]^{51}$	931.0	951.0
$\text{La}_2\text{Li}_{0.5}\text{Cu}_{0.5}\text{O}_4^{51}$	932.9	952.9
CuSal	931.6	951.7
$[\text{CuSal}]^+{}^a$	931.8, 933.1	951.8, 953.2
$[\text{PPh}_4][\text{Cu}-N,N',o\text{-phenylene-bis-(methylamide)}]^{a26}$	931.7, 933.7	951.9, 953.8

<sup>a</sup> Two observed peaks are due to facile photoreduction.<sup>48</sup>

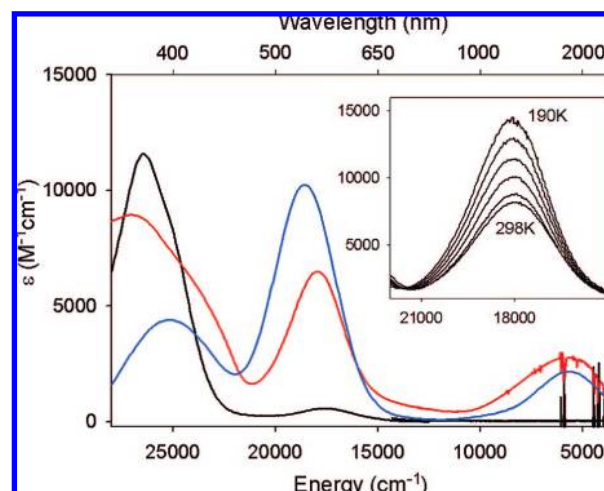
$L_2$ -edge (ca. 950 eV,  $2p_{1/2} \rightarrow 3d_{x^2-y^2}$ ).<sup>53</sup> The  $L_3$ -edge for CuSal occurs at 931.6 eV, which compares well with the data for  $[\text{CuCl}_4]^{2-}$  (Table 5).

Interestingly, two features are observed for each of the  $L_3$ - and  $L_2$ -edges of  $[\text{CuSal}]^+$ . For the  $L_3$ -edge, peaks at 931.8 and 933.1 eV are visible, due to the presence of both a Cu(II) and a Cu(III) species in the sample. Corresponding peaks at 951.8 and 953.2 eV are present at the  $L_2$ -edge. The higher energy peaks for  $[\text{CuSal}]^+$  correspond well with the Cu(III) oxide  $\text{La}_2\text{Li}_{0.5}\text{Cu}_{0.5}\text{O}_4$  spectrum and are consistent with the presence of a Cu(III) species.<sup>53</sup> The presence of peaks indicative of a Cu(II) species in the spectrum of  $[\text{CuSal}]^+$  is due to sample photoreduction, as continued exposure of a sample of  $[\text{CuSal}]^+$  to the X-ray beam over 9 h leads to complete photoreduction to a Cu(II) species (Figure S2).<sup>48</sup>  $L$ -edge XAS of a very stable Cu(III)-oxamide complex,<sup>26</sup>  $[\text{PPh}_4][\text{Cu}-N,N',o\text{-phenylene-bis-(methylamide)}]$ , also exhibited facile photoreduction under these experimental conditions (Figure S3).<sup>48</sup>

**2.1.6. Cu X-ray Photoelectron Spectroscopy (XPS).** Cu XPS of solid CuSal and  $[\text{CuSal}]^+$  shows a clean shift in the Cu  $2p_{3/2}$  and Cu  $2p_{1/2}$  binding energies to higher energy for the one-electron oxidized form (Figure 5). The 1.2 eV shift in the Cu  $2p_{3/2}$  (933.7 to 934.9 eV) and Cu  $2p_{1/2}$  (953.7 to 954.9 eV) binding energies is consistent with a change in oxidation state from Cu(II) for CuSal to Cu(III) for  $[\text{CuSal}]^+$  and compares well with the magnitude and direction of the change in the Cu K-pre-edge energy for the same compounds (Table 4). The lower intensity beam used for the XPS experiments limits sample photoreduction in comparison to the L-edge XAS data (*vide supra*).



**Figure 5.** X-ray photoelectron spectroscopy (XPS) data for CuSal (a) and  $[\text{CuSal}]^+$  (b).

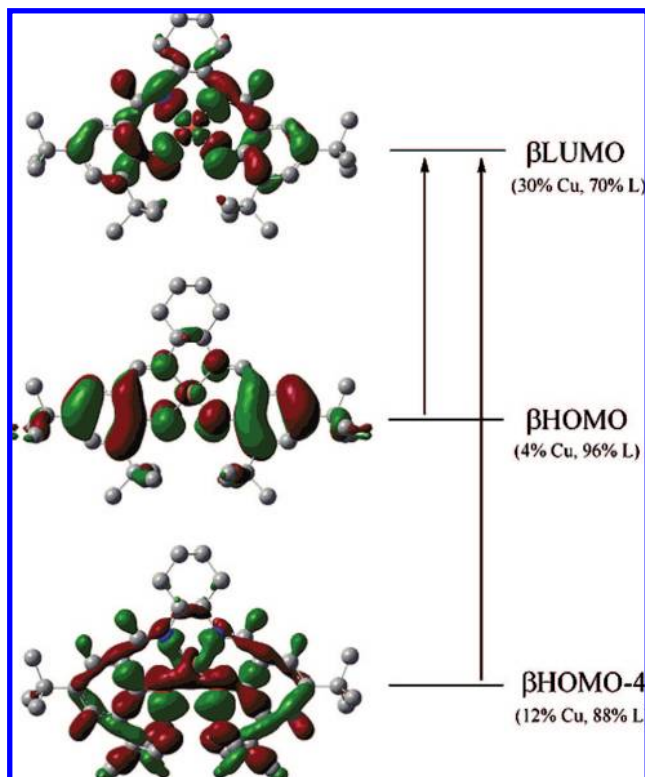


**Figure 6.** Electronic spectra of 0.08 mM  $\text{CH}_2\text{Cl}_2$  solutions of CuSal (black) and  $[\text{CuSal}]^+\text{SbF}_6^-$  (red), and the calculated spectrum for singlet  $[\text{CuSal}]^+$  (blue). Inset: Temperature dependence (298  $\rightarrow$  190 K) of the 18 000  $\text{cm}^{-1}$  band.

**2.2. Characterization of  $[\text{CuSal}]^+$  in Solution. 2.2.1. Absorption Spectroscopy.** The electronic spectrum of CuSal (Figure 6) is typical of a Cu(II)  $d^9$  complex with an intense CT transition at 26 000  $\text{cm}^{-1}$  ( $\epsilon = 11\,600 \text{ M}^{-1} \text{ cm}^{-1}$ ) and a weak d-d transition at 17 600  $\text{cm}^{-1}$  ( $\epsilon = 600 \text{ M}^{-1} \text{ cm}^{-1}$ ).<sup>3,6</sup> As reported previously, oxidation to  $[\text{CuSal}]^+$  at room temperature results in the appearance of two new bands: an intense band at 18 000  $\text{cm}^{-1}$  ( $\epsilon = 6500 \text{ M}^{-1} \text{ cm}^{-1}$ ) and a low-energy transition at 5700  $\text{cm}^{-1}$  ( $\epsilon = 2900 \text{ M}^{-1} \text{ cm}^{-1}$ ).<sup>3</sup> Interestingly, the 18 000  $\text{cm}^{-1}$  band exhibits large intensity changes with temperature (Figure 6). From 298 to 190 K, the 18 000  $\text{cm}^{-1}$  band approximately doubles in intensity and shifts slightly to higher energy. This change is fully reversible and indicates that  $[\text{CuSal}]^+$  is involved in a temperature-dependent equilibrium in solution. The intensity of the 18 000  $\text{cm}^{-1}$  absorption band for  $[\text{CuSal}]^+\text{SbF}_6^-$  is independent of concentration at both 295 and 203 K (Figures S4 and S5),<sup>48</sup> excluding dimerization as a possible reason for the observed temperature-dependent changes in band intensity.

**2.2.2. Theoretical Analysis of the Electronic Transitions for  $[\text{CuSal}]^+$ .** In order to better understand the electronic structure of  $[\text{CuSal}]^+$ , the nature of the transitions at 18 000 and 5700  $\text{cm}^{-1}$  were investigated by time-dependent density

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**Figure 7.** TD-DFT assignment of the calculated transitions for the  $[\text{CuSal}]^+$  singlet state at 18 600 ( $\beta\text{HOMO-4} \rightarrow \beta\text{LUMO}$ ) and 5700  $\text{cm}^{-1}$  ( $\beta\text{HOMO} \rightarrow \beta\text{LUMO}$ ). AOMIX decomposition of relevant MOs into constituent components is shown in parentheses.<sup>42,43</sup>

**Table 6.** Predicted TD-DFT Transitions (Energies and Intensities) and Assignment for the Calculated Singlet and Triplet  $[\text{CuSal}]^+$  Electronic States

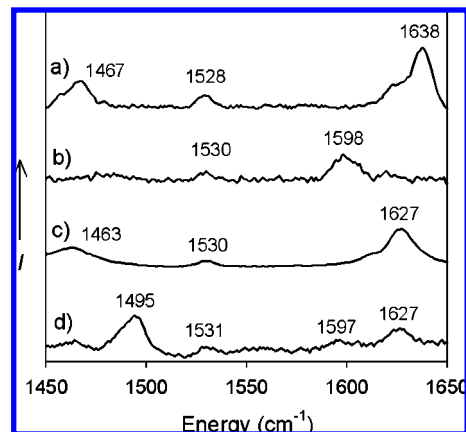
complex	transition (MO number) <sup>a</sup>	energy ( $\text{cm}^{-1}$ )	oscillator strength	assignment
$[\text{Cu(III)Sal}]^+$ (singlet)	$\beta\text{HOMO-4 (159)} \rightarrow \beta\text{LUMO (164)}$	18 600	0.25	LMCT
	$\beta\text{HOMO (163)} \rightarrow \beta\text{LUMO (164)}$	5 600	0.05	LMCT
$[\text{Cu(II)Sal}]^+$ (triplet)	$\beta\text{HOMO-2 (160)} \rightarrow \beta\text{LUMO+1 (164)}$	18 200	0.11	LMCT
	$\beta\text{HOMO-1 (161)} \rightarrow \beta\text{LUMO+1 (164)}$			
	$\beta\text{HOMO (162)} \rightarrow \beta\text{LUMO (163)}$	5 800	0.12	LLCT

<sup>a</sup> TD-DFT (30 states); uBLYP/TZVP (Cu, O, N)/6-31g(d) (C, H).

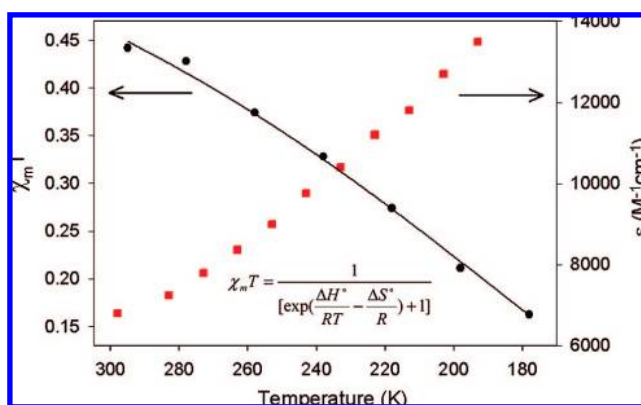
functional theory (TD-DFT).<sup>54</sup> The calculated spectrum<sup>55</sup> for a  $[\text{Cu(III)Sal}]^+$  singlet electronic ground state (Figure 6) agrees remarkably well with experiment in the visible and NIR regions. The predicted band at 18 600  $\text{cm}^{-1}$  is predominantly a  $\beta\text{HOMO-4} \rightarrow \beta\text{LUMO}$  transition (Figure 7, Table 6). Analysis of the compositions of the MOs (Figure 7; Table S2)<sup>48</sup> leads to an assignment of this band as a ligand-to-metal charge-transfer (LMCT) transition; orbital analysis shows that significant electron density is transferred from the phenolate oxygen atoms to the empty Cu  $d_{x^2-y^2}$  orbital of the LUMO.<sup>42,43</sup>

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**Figure 8.** Resonance Raman (rR) spectra of (a)  $\text{CuSal}$  and (b)  $[\text{CuSal}]^+$  at 298 K, and of (c)  $\text{CuSal}^{\text{red}}$  and (d)  $[\text{CuSal}^{\text{red}}]^+$  at 213 K ( $\lambda_{\text{ex}} = 413 \text{ nm}$ ).



**Figure 9.** Comparison of the VT solution susceptibility by  $^1\text{H}$  NMR (black circles,  $\text{CD}_2\text{Cl}_2$ ) and 18 000  $\text{cm}^{-1}$  band intensity (red squares,  $\text{CH}_2\text{Cl}_2$ ) for  $[\text{CuSal}]^+\text{SbF}_6^-$ . Fitting the susceptibility values (solid line) to the equation indicated affords thermodynamic parameters for the equilibrium ( $\Delta H^\circ = 1.1 \pm 0.1 \text{ kcal mol}^{-1}$ ,  $\Delta S^\circ = 3.5 \pm 0.1 \text{ cal K}^{-1} \text{ mol}^{-1}$ ;  $R^2 = 0.998$ ).

The low-energy band ( $\beta\text{HOMO} \rightarrow \beta\text{LUMO}$ ) is also predicted to be a LMCT transition with an even more substantial change in Cu character (4 to 30%). A LMCT transition at a similar low energy (4200  $\text{cm}^{-1}$ ) has been characterized for a copper-superoxo complex.<sup>56</sup> Interestingly, the energies of the calculated transitions for the triplet  $[\text{Cu(II)Sal}]^+$  correspond closely to that for the singlet state (Table 6). The longer coordination sphere bond lengths for the triplet state, reflecting lesser covalency, leads to the slight red-shift of the predicted visible band in comparison to the singlet results. Both the singlet and triplet calculations predict the existence of a NIR band for  $[\text{CuSal}]^+$ ; however, the NIR band for the triplet state is predicted to be a ligand-to-ligand charge-transfer (LLCT) transition on the basis of MO constituent analysis (Table S2).

**2.2.3. Resonance Raman (rR) Spectroscopy.** Resonance Raman spectroscopy is an important tool for the characterization of phenoxyl radical species.<sup>11</sup> Excitation in resonance with the phenoxyl  $\pi \rightarrow \pi^*$  transition (ca. 400 nm) leads to the appearance of characteristic bands at  $\sim 1500$  ( $\nu_{7a}$ , C–O stretching) and  $\sim 1600 \text{ cm}^{-1}$  ( $\nu_{8a}$ ,  $\text{C}_{\text{ortho}}-\text{C}_{\text{meta}}$  stretching).<sup>7,57</sup> As an example for the salen ligand framework,  $[\text{NiSal}]^+$  exhibits bands at 1504 and 1605  $\text{cm}^{-1}$  with 413 nm irradiation, consistent with a

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phenoxy radical species ( $[\text{Ni}(\text{II})\text{Sal}^{\bullet}]^+$ ).<sup>16</sup> The rR spectrum of  $\text{CuSal}$  (Figure 8a) displays phenolate bands at 1528 and 1638  $\text{cm}^{-1}$ .<sup>16,58</sup> No evidence for a phenoxy radical species was provided by the rR spectrum of  $[\text{CuSal}]^+$  (Figure 8b), as the bands observed at 1530 and 1598  $\text{cm}^{-1}$  do not correlate with the expected pattern for a phenoxy radical species. Comparison of the rR spectra for  $\text{CuSal}^{\text{red}}$  (Figure 8c) and  $[\text{CuSal}^{\text{red}}]^+$  (Figure 8d) shows that two new bands at 1495 and 1597  $\text{cm}^{-1}$  are present upon oxidation. The energy of these two bands, and the frequency difference between them, signify the formation of a Cu(II) phenoxy-radical species ( $[\text{Cu}(\text{II})\text{Sal}^{\text{red}}]^+$ ). The presence of both phenoxy and phenolate bands for  $[\text{CuSal}^{\text{red}}]^+$  may indicate localization of the phenoxy radical on one of the two phenolates on the time scale of the Raman experiment.<sup>17,59</sup> It is interesting that the absorption spectra of the ligand-radical derivatives  $[\text{Ni}(\text{II})\text{Sal}^{\bullet}]^+$  and  $[\text{Cu}(\text{II})\text{Sal}^{\text{red}}]^+$  lack definitive phenoxy absorption bands at ca. 400 nm.<sup>3,16</sup>

#### 2.2.4. Variable-Temperature (VT) $^1\text{H}$ NMR Spectroscopy.

The  $^1\text{H}$  NMR spectrum of  $[\text{CuSal}]^+\text{SbF}_6^-$  in  $\text{CD}_2\text{Cl}_2$  at 298 K displays broad signals over a wide spectral range (Figure S6),<sup>48</sup> indicating the presence of a substantial amount of a paramagnetic species. Solution susceptibility measurements by the Evans method<sup>60</sup> indicate a  $\chi_m T$  value of 0.442  $\text{cm}^3 \text{mol}^{-1} \text{K}$  ( $1.1 \pm 0.1$  unpaired electrons; spin-only calculation) at room temperature. An interpretation of this bulk susceptibility is the presence of approximately equimolar ferromagnetically coupled Cu(II)–ligand-radical species  $[\text{Cu}(\text{II})\text{Sal}^{\bullet}]^+$  and a diamagnetic counterpart  $[\text{Cu}(\text{III})\text{Sal}]^+$  (Figure 9).

Interestingly, as the temperature is decreased, the solution becomes less paramagnetic: the signals sharpen and the spectral window is reduced (Figure S6).<sup>48</sup> At the low-temperature limit of the solvent (178 K), the calculated  $\chi_m T$  value is 0.163  $\text{cm}^3 \text{mol}^{-1} \text{K}$ , corresponding to ca. 25% of a triplet species. These temperature-dependent changes in solution susceptibility are reversible and can be correlated with the changes in the 18 000  $\text{cm}^{-1}$  band intensity with temperature (Figure 9). We were unable to expand the temperature range of our investigations due to the instability of  $[\text{CuSal}]^+\text{SbF}_6^-$  in solvents other than  $\text{CH}_2\text{Cl}_2$ .

### 3. Discussion

Salen ligands are important systems for catalytic applications, and the modularity of the ligand structure, as well as the ability to form stable complexes with many metals in a variety of oxidation states, has accelerated their development. The electronic structure of oxidized metal–salen complexes is complicated by the potential ligand non-innocence. Numerous studies have focused on determining the factors that control the locus of oxidation in these compounds.<sup>6,15,17–20,61</sup> Ligand-based oxidation of Cu–salen complexes, to form coordinated phenoxy radical species, has relevance to the oxidized form of galactose oxidase ( $\text{GOase}_{\text{ox}}$ ), which contains a tyrosine radical ligand bound to a Cu(II) center.<sup>2</sup> We previously investigated  $\text{CuSal}$

and  $\text{CuSal}^{\text{red}}$  (Scheme 2) as small-molecule GOase models,<sup>3,4</sup> and found that minor changes to the ligand structure resulted in mechanistically distinct reactivity for the one-electron oxidized forms  $[\text{CuSal}]^+$  and  $[\text{CuSal}^{\text{red}}]^+$ . We now have investigated extensively the geometric and electronic structure of  $[\text{CuSal}]^+$  and  $[\text{CuSal}^{\text{red}}]^+$  to gain insight into this differential reactivity as well as the nature of the localization/delocalization of the oxidation locus in these Cu–bis-phenoxide complexes.

The crystal structure of  $[\text{CuSal}]^+$  (Figure 1) shows clearly a coordination sphere contraction upon one-electron oxidation of  $\text{CuSal}$ . In addition, the structure is more rigorously square-planar, indicative of a metal-based oxidation process and formation of a Cu(III) center. The ca. 0.04 Å reduction in Cu–ligand bond lengths (Table 2) is less than the reported contraction for other Cu complexes structurally characterized in both Cu(II) and Cu(III) oxidation states (0.1–0.17 Å)<sup>24,26,62</sup> and could be due to the limited flexibility of the **Sal** ligand toward coordination sphere contraction. Our previous work with the analogous  $[\text{NiSal}]^+$  derivative also showed a coordination sphere contraction upon oxidation, even though this compound was established firmly to be a ligand-radical species in the solid state by spectroscopy;<sup>18</sup> ECDA calculations suggest that this contraction is due to a small increase in both  $\text{L} \rightarrow \text{M}$  and  $\text{M} \rightarrow \text{L}$  donation in the oxidized form (Table 3). In contrast, ECDA calculations for  $[\text{Cu}(\text{III})\text{Sal}]^+$  show that  $\text{L} \rightarrow \text{M}$  donation is increased greatly in comparison to that in  $\text{CuSal}$ , highlighting the differences in bonding associated with metal-based as opposed to ligand-based oxidation in these systems. X-ray absorption spectroscopy in the solid state also supports a change in oxidation state from Cu(II) for  $\text{CuSal}$  to Cu(III) for  $[\text{CuSal}]^+$ . The change in the energy of the pre-edge feature from 8979.2 eV for  $\text{CuSal}$  to 8980.2 eV for  $[\text{CuSal}]^+$  (Table 4) is consistent with a metal-centered oxidation process from Cu(II) to Cu(III).<sup>33</sup> This ca. 1 eV shift to higher energy for the Cu pre-edge transition matches the magnitude of the shift in the XPS Cu  $2p_{3/2}$  and Cu  $2p_{1/2}$  binding energies upon one-electron oxidation of  $\text{CuSal}$ .

The shift in the locus of oxidation from the ligand for  $[\text{NiSal}]^+$  to the metal for  $[\text{CuSal}]^+$  matches the trend for the monoanionic Ni and Cu dithiolenes.<sup>12,63</sup> In the case of Ni, the salen filled valence orbitals are higher in energy as compared to the d-orbital manifold, resulting in ligand non-innocence upon oxidation. For Cu, the Cu(II)  $d^9$  configuration of  $\text{CuSal}$  results in the occupation of a higher energy, metal-centered ( $d_{x^2-y^2}$ -based) orbital, leading to a metal-centered oxidation process to  $[\text{CuSal}]^+$ . At the solid-state geometry of  $[\text{CuSal}]^+$ , the calculated singlet energy is predicted to be ca. 10  $\text{kcal mol}^{-1}$  lower than the triplet energy. This large energy difference is consistent with solid-state magnetic measurements for  $[\text{CuSal}]^+\text{SbF}_6^-$  showing that the compound is diamagnetic ( $S = 0$  ground state), with no evidence for population of a higher energy triplet state at 300 K.

Interestingly, VT studies of  $[\text{CuSal}]^+$  by absorption and  $^1\text{H}$  NMR spectroscopy show that  $[\text{CuSal}]^+$  exists in a spin equilibrium in solution that is reversible with temperature. The  $^1\text{H}$  NMR spectrum at 295 K (Figure S6) indicates that a substantial amount of a paramagnetic species is present, which is attributed to the presence of a ferromagnetically coupled

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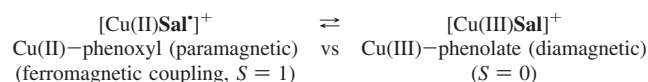
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**Scheme 3.** Temperature-Dependent Equilibrium Process for [CuSal]<sup>+</sup> in Solution


Cu(II)–ligand–radical species, [Cu(II)Sal]<sup>+</sup>. Based on the solid-state structure of [CuSal]<sup>+</sup>SbF<sub>6</sub><sup>−</sup>, and the anticipated minor structural changes in solution, the magnetic orbitals of the Cu(II) ion (*d*<sub>x<sup>2</sup>−y<sup>2</sup>) and of the phenoxy radical (*π*-orbital) are orthogonal.<sup>64</sup> A spin density plot (Figure S7)<sup>48</sup> of the DFT geometry-optimized triplet species displays the unpaired electrons in these orbitals. The temperature dependence of the magnetic susceptibility for [CuSal]<sup>+</sup>SbF<sub>6</sub><sup>−</sup> in a CH<sub>2</sub>Cl<sub>2</sub> solution is rationalized in terms of an equilibrium between a diamagnetic Cu(III) species and a paramagnetic, ferromagnetically coupled Cu(II) complex, in which the more strongly bonded species, Cu(III), is favored at low temperature (Scheme 3). We were unable to probe directly this equilibrium by EPR, as the oxidation of CuSal in solution leads to an EPR-silent species (<5% of original intensity, 300 to 4 K).<sup>3,65</sup> This signal loss upon oxidation can be rationalized by the presence of a low-spin, diamagnetic Cu(III) *d*<sup>8</sup> species or a ferromagnetically coupled Cu(II)–ligand–radical species (*S* = 1) with a large zero-field splitting.<sup>66</sup> The fact that the spin equilibrium favors the Cu(III) species at lower temperatures decreases further the likelihood of detecting a triplet EPR signal.</sub>

The spin equilibrium is evident in the VT UV–vis data for [CuSal]<sup>+</sup> (Figure 6). Upon cooling from 298 to 190 K, the 18 000 cm<sup>−1</sup> band approximately doubles in intensity and shifts to slightly higher energy (ca. 150 cm<sup>−1</sup>), correlating with the change in the solution magnetic susceptibility. The increased intensity of this LMCT band, coupled with the shift of the  $\lambda_{\text{max}}$  to higher energy, is consistent with greater metal–ligand covalency; TD-DFT calculations (Table 6) predict the visible band to be higher in energy and of greater intensity for the singlet calculation in comparison to the triplet calculation. The calculated electronic energies for the geometry optimized triplet ([Cu(II)Sal]<sup>+</sup>) and singlet ([Cu(III)Sal]<sup>+</sup>) states in a CH<sub>2</sub>Cl<sub>2</sub> polarized continuum model (PCM) solvent model<sup>67</sup> incorrectly predict the triplet species to be lower in energy by 2.5 kcal mol<sup>−1</sup> at 300 K. The calculated free energy difference is 1.8 kcal mol<sup>−1</sup> at 200 K, and 0.7 kcal mol<sup>−1</sup> at 0 K, supporting the nearly isoenergetic nature of these two electronic descriptions. Solid-state susceptibility measurements of [CuSal]<sup>+</sup>SbF<sub>6</sub><sup>−</sup> show that this spin equilibrium is not operative in the solid state. In addition, VT solid-state mull UV–vis–NIR spectra of a powdered crystalline sample of [CuSal]<sup>+</sup> do not show any large intensity changes in the temperature range 295 to 5 K (Figure S8).<sup>48</sup>

Variable-temperature absorption studies and susceptibility studies via the Evans method (Figure S9)<sup>48</sup> of the tetrahydrosalen derivative [CuSal<sup>red</sup>]<sup>+</sup> in solution do not exhibit significant changes. Solution susceptibility measurements support that the triplet Cu(II)–ligand–radical species ([Cu(II)Sal<sup>red</sup>]<sup>+</sup>;  $\mu_{\text{eff}} = 2.5 \mu_{\text{B}}$  at 295 K) is stabilized in the temperature range studied (295 to 180 K).<sup>68</sup> These results indicate that the more geometrically flexible and electron-rich tetrahydrosalen ligand stabilizes the Cu(II)–ligand–radical form and show how subtle changes to the electronic properties of the ligand can affect the electronic structure of the corresponding Cu complex. Characteristic phenoxy bands are present in the rR spectrum of [CuSal<sup>red</sup>]<sup>+</sup> (Figure 8) at 213 K with 413 nm excitation, providing further evidence of the stability of the ligand–radical form for this derivative. We were unable to detect the Cu(II)–phenoxy–radical species for [CuSal]<sup>+</sup> by rR spectroscopy at either 213 K or 295 K. This result could be due to a shift of the phenoxy bands to higher energy (413 nm is not sufficient for rR excitation<sup>19</sup>) or the fact that the spin equilibrium greatly reduces the intensity of the phenoxy bands.

Comparison of a series of isostructural square-planar Cu–bisphenoxide complexes (Scheme 4) permits a better description of the factors that influence the oxidation locus. For [CuL<sub>amido</sub>]<sup>−</sup>, the powerful  $\sigma$ -donating ability of this tetranionic ligand stabilizes the Cu(III) oxidation state due to strong destabilization of the *d*<sub>x<sup>2</sup>−y<sup>2</sup> Cu orbital.<sup>27</sup> Replacing the amido donors with imines in [CuSal]<sup>+</sup> leads to lesser  $\sigma$ -donation and energy matching of the redox-active orbitals on the ligand and the metal center. The results presented here for [CuSal]<sup>+</sup> indicate that the geometric and electronic characteristics of the non-innocent Sal ligand framework are sufficient to stabilize both Cu(II) and Cu(III) oxidation states near room temperature. However, the more geometrically flexible and electron-rich tetrahydrosalen derivative (Sal<sup>red</sup>) affords the Cu(II)–ligand–radical form. These results contrast with a related series of oxidized Ni–N<sub>2</sub>O<sub>2</sub> complexes, in which the locus of oxidation is exclusively ligand-based in all cases.<sup>20</sup></sub>

The shift in the equilibrium from a ligand–radical species, [Cu(II)Sal]<sup>+</sup>, to a high-valent metal species, [Cu(III)Sal]<sup>+</sup>, at low temperature is similar to the temperature-dependent valence tautomerism observed in numerous metal–dioxolene complexes.<sup>10,69,70</sup> Both the redox-active orbitals on the ligand and the metal need to be of similar energies to allow for reversible electron transfer to occur. Thermodynamic parameters for the equilibrium ([Cu(III)Sal]<sup>+</sup>  $\rightleftharpoons$  [Cu(II)Sal]<sup>+</sup>) were obtained by fitting the VT solution susceptibility data ( $\Delta H^\circ = 0.56 \pm 0.01$  kcal mol<sup>−1</sup>,  $\Delta S^\circ = 2.0 \pm 0.1$  cal K<sup>−1</sup> mol<sup>−1</sup>; Figure 9) and suggest that the ligand–radical high-spin metal form is entropically stabilized, similar to the dioxolene systems,<sup>71</sup> while the high-valent metal species is enthalpically stabilized. Our computational results show that the coordination sphere bond lengths are shorter for *d*<sup>8</sup> [Cu(III)Sal]<sup>+</sup> as compared with the triplet species *d*<sup>9</sup> [Cu(II)Sal]<sup>+</sup> (Table 2) and, in combination

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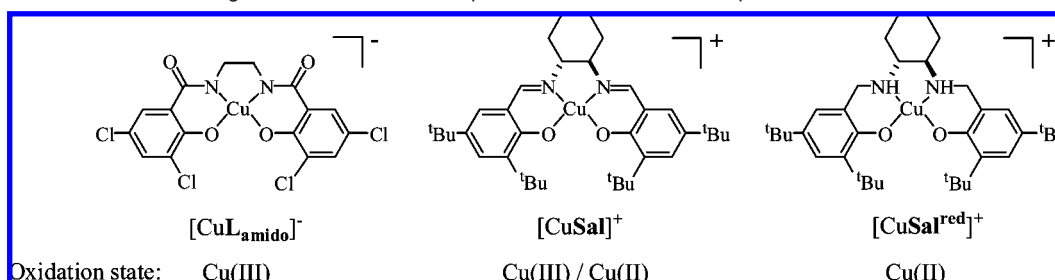
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**Scheme 4.** Metal Oxidation State Assignment for a Series of Square-Planar Cu–N<sub>2</sub>O<sub>2</sub> Complexes<sup>a</sup>

<sup>a</sup> The amido ligand ( $[L_{\text{amido}}]^{4-}$ ) stabilizes the Cu(III) oxidation state,<sup>27</sup> while the tetrahydro-salen ligand ( $[Sal^{\text{red}}]^{2-}$ ) stabilizes the Cu(II) oxidation state. The salen ligand ( $[Sal]^{2-}$ ) is intermediate and is able to stabilize both Cu(II) and Cu(III) oxidation states.

with the experimental analysis of  $[CuSal]^+$  in solution, suggest that the observed equilibrium favors the Cu(III) species at lower temperature. The small magnitude of the thermodynamic parameters for  $[CuSal]^+$  in comparison to those reported for many metal–dioxolene systems<sup>10</sup> is consistent with the relatively minor predicted change in bond lengths accompanying the shift in the locus of oxidation for  $[CuSal]^+$ . While temperature-dependent valence tautomerism between Cu(II)–catecholate and Cu(I)–semiquinonate species has been reported,<sup>70,72</sup> this is the first account of a spin equilibrium involving a Cu–phenoxide complex. Interestingly, in the case of  $[NiSal]^+$ , the shift in the locus of oxidation from the ligand to the metal is due to large changes in the ligand field associated with axial ligation at low temperature.<sup>18</sup> The binding of exogenous ligands to square-planar Ni(II)–semiquinone and Ni(III)–catecholate complexes has also been reported to lead to metal spin-state changes and/or valence tautomerism.<sup>73</sup> Axial ligation presumably does not have a significant role in the equilibrium for  $[CuSal]^+$  under the conditions used here, as axial coordination would bias the equilibrium in favor of the  $d^9$   $[Cu(II)Sal]^+$  form at low temperature. Indeed, the small but positive entropy value measured for the equilibrium ( $[Cu(III)Sal]^+ \rightleftharpoons [Cu(II)Sal]^+$ ) indicates that the formation of  $d^9$   $[Cu(II)Sal]^+$  at higher temperatures is not associated with axial ligand binding.<sup>74</sup> The  $[CuSal]^+$  spin equilibrium presumably occurs without a change in coordination number, and thus the ligand-field changes associated with the shift in the locus of oxidation are more subtle in comparison to those in the Ni systems.<sup>75</sup> The similarity in energy between the  $[Cu(II)Sal]^+$  and  $[Cu(III)Sal]^+$  forms can be compared to the well-studied isomeric  $\mu\text{-}\eta^2\text{:}\eta^2\text{-peroxodicopper(II)}$  ( $^8P$ ) and bis( $\mu\text{-oxo}$ )dicopper(III) ( $O$ ) complexes with aliphatic and/or aromatic amine ligands.<sup>76</sup> The similar square-planar geometrical preference of the Cu(II)  $d^9$  and Cu(III)  $d^8$  oxidation states, coupled with the flexibility of the  $[Cu_2O_2]^{2+}$  core toward contraction and expansion, results in the  $^8P$  and  $O$  forms being of similar energy.

#### 4. Summary

We have shown in this work that the locus of oxidation in the Cu–salen derivative  $[CuSal]^+$  is metal-based, resulting in

a Cu(III) species in the solid state. Surprisingly, the dianionic square-planar salen ligand is able to stabilize the Cu(III) oxidation state. In solution, a temperature-dependent equilibrium is proposed to exist between high-valent metal ( $[Cu(III)Sal]^+$ ) and ligand-radical ( $[Cu(II)Sal]^+$ ) forms, indicating nearly isoenergetic species. This is the first account of a temperature-dependent spin equilibrium for a Cu complex outside of the well-studied dioxolene systems. Variable-temperature solution susceptibility and absorption spectroscopy show that the diamagnetic  $[Cu(III)Sal]^+$  species is stabilized at low temperature; however, the increased flexibility of the complex toward coordination sphere contraction and expansion in solution presumably allows for stabilization of the two forms in this medium. Preliminary work with the oxidized tetrahydro-salen derivative  $[CuSal^{\text{red}}]^+$  suggests that this species exists as a temperature-invariant Cu(II)–ligand-radical species in solution and shows how subtle changes to the electronic properties of the ligand can affect the electronic structure of the Cu complex.

Evaluation of the electronic structure of  $[CuSal]^+$  and  $[CuSal^{\text{red}}]^+$  allows us to speculate on the origin of the different reactivities of these compounds with benzyl alcohol. The slower reactivity of  $[CuSal]^+$  as compared with  $[CuSal^{\text{red}}]^+$ ,<sup>4</sup> even though  $[CuSal]^+$  is a much stronger oxidant, could be due to the presence of the  $[Cu(III)Sal]^+$  species in solution. The Cu(III)  $d^8$  species  $[Cu(III)Sal]^+$  disfavors axial substrate binding to a greater extent than the Cu(II)  $d^9$  derivative  $[Cu(II)Sal^{\text{red}}]^+$ . Substrate binding allows the thermodynamically weaker oxidant to react more rapidly via substrate preorganization, the same means by which enzymes such as GOase are capable of performing highly selective transformations under mild conditions. We are currently probing how subtle changes to ligand electronics influence the locus of oxidation in other Cu–bis-phenoxide derivatives, and the reactivity of those derivatives with externally added substrates.

#### 5. Experimental Section

**5.1. Materials and Methods.** All solvents and reagents were obtained from commercial sources and used as received unless noted otherwise.  $CuSal$ ,<sup>77</sup>  $CuSal^{\text{red}}$  and  $[CuSal^{\text{red}}]^+$ ,<sup>3</sup> and  $[PPh_4][Cu-N,N'\text{-}o\text{-phenylene-bis(methylamide)}]^{26}$  were prepared as reported previously. Data collection and structure solution for the crystal structure of  $[CuSal]^+SbF_6^-$  were conducted at the X-ray Crystallographic Laboratory, S146 Kolthoff Hall, Department of Chemistry, University of Minnesota. Details are available in the CIF file (Supporting Information). Cyclic voltammetry was performed using a BAS CV-40 potentiometer, a Ag wire reference electrode, a platinum disk working electrode, and a Pt wire counter electrode

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with 0.1 M  $\text{Bu}_4\text{NClO}_4$  solutions in  $\text{CH}_2\text{Cl}_2$ . Ferrocene was used as an internal standard. X-band EPR spectra were collected with a Bruker EMX spectrometer using an ER041XG microwave bridge and an ER4102ST cavity for experiments conducted at 298 to 77 K. From 77 to 4 K, an Oxford ITC503 temperature controller with an EPR 900 continuous flow cryostat were used. UV–vis–NIR spectroscopy was performed using a Cary 500 dual-beam spectrophotometer. Variable-temperature UV–vis spectroscopy was performed using a Cary 50 spectrophotometer with a custom-designed immersable fiber-optic quartz probe with variable path length (1 and 10 mm; Hellma, Inc.). Constant temperatures were maintained by either a cooling bath (Kinetic Systems, New York) or a dry ice/acetone bath. Solution temperatures were directly monitored by insertion of an Omega temperature probe in the solutions. Variable-temperature Evans method  $^1\text{H}$  NMR measurements were performed on a Varian Inova 300 MHz instrument in  $\text{CD}_2\text{Cl}_2$ , and diamagnetic corrections were estimated using Pascal's constants. Solvent contraction was accounted for in all variable-temperature studies. Analytical services were provided by Desert Analytics (Tucson, AZ). X-ray photoelectron spectra were recorded on a Thermo VG Scientific Model Theta Probe spectrometer using Al  $\text{K}\alpha$  radiation (1486.6 eV) operating at 15 kV and 3 mA. The solid sample was dispersed on an In film, and the carbon 1s binding energy (284.5 eV) was used to calibrate the binding energy.

**5.2. Synthesis.** CuSal (0.103 g, 0.17 mmol) and  $\text{AgSbF}_6$  (0.058 g, 0.17 mmol) were placed in a scintillation vial under an inert atmosphere, and  $\text{CH}_2\text{Cl}_2$  (5 mL) was added. A purple suspension formed immediately. The suspension was stirred for 1 h and filtered through a Celite pad, and the solvent was removed *in vacuo*. The purple solid was dissolved in a minimum of  $\text{CH}_2\text{Cl}_2$  (1 mL), and pentane (10 mL) was added to precipitate the material. The solid was isolated, washed with pentane, and dried under vacuum to afford  $[\text{CuSal}]^+\text{SbF}_6^-$  as a purple solid (0.117 g, 82% yield). Elemental analysis calculated for  $\text{C}_{36}\text{H}_{52}\text{N}_2\text{O}_2\text{CuSbF}_6$ : C, 51.23; H, 6.21; N, 3.32. Found: C, 51.02; H, 6.28; N, 3.24. Crystals suitable for X-ray analysis were grown via pentane diffusion into a concentrated  $\text{CH}_2\text{Cl}_2$  solution of  $[\text{CuSal}]^+\text{SbF}_6^-$ .

**5.3. Solid-State Magnetic Susceptibility.** Solid-state variable-temperature magnetic susceptibility data were collected on a Quantum Design MPMS-XL superconducting quantum interference device. Powdered microcrystalline samples of CuSal or  $[\text{CuSal}]^+\text{SbF}_6^-$  were added to a gel capsule and held in place with eicosane (Aldrich). Data were collected in the temperature range 5–300 K at 5000 Oe and corrected for the diamagnetic signal of the gel capsule and eicosane. Diamagnetic corrections for the sample were estimated using Pascal's constants. The data for CuSal were fit to a Curie–Weiss law ( $C = 0.405$ ,  $\theta = -0.554$  K). The data for  $[\text{CuSal}]^+\text{SbF}_6^-$  were fit with a 4% paramagnetic impurity of CuSal (estimated from the low-temperature data) and were essentially diamagnetic in the temperature range studied (Figure S1).<sup>48</sup>

**5.4. Resonance Raman Spectroscopy.** Resonance Raman spectra were obtained on a SpectraPro-300i spectrometer (Acton Research) with a 2400-groove grating, a Beamlok 2060 Kr ion laser (Spectra-Physics), a holographic supernoch filter (Kaiser Optical Systems), and an LN-1100PB CCD detector (Princeton Instruments) cooled with liquid  $\text{N}_2$ . Spectra were collected on solvated samples (1 mM) in spinning cells (2 cm diameter, 1500 rpm) at variable temperatures (293 to 213 K) at an excitation wavelength  $\lambda_{\text{ex}} = 413.1$  nm (20 mW),  $90^\circ$  scattering geometry, and 5 min data accumulation. Peak frequencies were calibrated relative to indene and  $\text{CCl}_4$  standards (accurate to  $\pm 1$   $\text{cm}^{-1}$ ). During each Raman experiment, UV–vis spectra were collected simultaneously on a PMA-11 CCD spectrophotometer (Hamamatsu) with a Photal MC-2530 ( $D_2/W_2$ ) light source (Otsuka Electronic Co.).

**5.5. X-ray Absorption Spectroscopy.** Cu K-edge and L-edge XAS data were collected at the Stanford Synchrotron Radiation Laboratory under dedicated ring conditions of 60–100 mA and 3 GeV.

**5.5.1. Cu K-Edge.** Cu K-edge data were recorded on the wiggler beam line 7-3. A Si (220) monochromator was used for energy selection and detuned (50%) to minimize higher harmonic components of the X-ray beam. The samples were finely ground with boron nitride and pressed into a 1 mm aluminum spacer. The spacer was sealed with 37  $\mu\text{m}$  Kapton windows and inserted into an aluminum XAS powder sample cell. The sample cell was precooled in liquid  $\text{N}_2$  before insertion into an Oxford Instruments CF1208 continuous flow liquid helium cryostat, in which the samples were maintained at 10–15 K during data collection. Data were measured in transmission mode with  $\text{N}_2$ -filled ionization chambers before and after the sample. Internal energy calibration was performed by simultaneous measurement of the transmission signal through a Cu reference foil. The first inflection point of the copper reference data was aligned to 8980.3 eV. Data of four scans were averaged and were processed by fitting a smooth polynomial to the pre-edge region, which was background-subtracted from the entire spectrum. A three-region cubic spline was used to model the smooth background above the edge. Data were normalized by subtracting the spline and normalizing the post-edge data to 1.0. EXAFS data were fit with the EXAFSPAK<sup>78</sup> program, using theoretical phase and amplitude parameters derived from FeFF7.0.<sup>79</sup> Absorber–scatterer distances ( $R$ , Å) and Debye–Waller factors ( $\sigma^2$ , Å<sup>2</sup>) were varied independently for each component in each fit. Additionally, the  $E_0$  (eV) value, or the energy onset of photoionization, was also varied for each fit but kept to a common value for all components of a given fit, and coordination numbers were kept constant. Further fitting information is available in Table S1.<sup>48</sup>

The intensities and energies of the  $1s \rightarrow 3d$  pre-edge transitions for each complex were quantified by simultaneously fitting pseudo-Voigt line shapes to the data and the second derivative of the data using the EDG\_FIT program. The energy position, half-width, and amplitude of each feature were allowed to vary within each fit. A background pseudo-Voigt function was used to model the rising absorption edge shape. The entire fit was performed over four energy ranges (8976–8983, 8976–8984, 8976–8985, and 8976–8986 eV) to ensure that the background chosen did not affect the quantitative fit. The approximate peak area was measured as the product of the peak amplitude and the full width at half-maximum of the pre-edge feature. The stated peak areas are the averages of the areas over the four energy ranges used, and standard deviations were calculated to estimate the variability of the fits.

**5.5.2. Cu L-Edge.** Cu L-edge data were recorded on the 31 pole wiggler beam line 10-1. The radiation was monochromatized using a spherical grating monochromator at the 1000 lines  $\text{mm}^{-1}$  setting using 20  $\mu\text{m}$  entrance and exit slits to define the beam. Solid samples were finely ground and applied across double-sided adhesive conductive graphite tape affixed to an aluminum support aligned at  $45^\circ$  to the incident beam. The vacuum chamber was maintained at a pressure of  $10^{-5}$  Torr or lower and isolated from the UHV beam line by a 1000 Å diamond window. Sample measurement was performed in total electron yield mode using a Channeltron electron multiplier ( $I_1$ ) aligned at  $45^\circ$  to the sample and  $90^\circ$  to the incident beam ( $I_0$ ). The photocurrent of a gold grid reference monitor was used to flux-normalize ( $I_1/I_0$ ) the sample signal. Data were linearly calibrated using  $\text{CuF}_2$  as a two-point reference sample prior to and subsequent to each sample measurement, aligning the  $L_3$  edge of  $\text{CuF}_2$  with 930.5 eV and the  $L_2$  edge with 950.5 eV. In all cases, the maximum calibration shift was  $\leq 0.1$  eV. For each sample, multiple scans were collected. The CuSal sample showed no indication of photoreduction; however, significant photoreduction of  $[\text{CuSal}]^+$  and  $[\text{PPh}_4][\text{Cu}-N,N'$ -*o*-phenylene-bis(methylamide)]<sup>26</sup> was observed during data collection.

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**5.6. Calculations.** Geometry optimizations were performed using the Gaussian 03 program,<sup>80</sup> the B3LYP functional,<sup>40</sup> and the 6-31G(d) basis set on all atoms, as this double- $\zeta$  optimization gave the best agreement with the solid-state structural data. Broken symmetry optimizations for  $[\text{CuSal}]^+$  from all starting geometries collapsed to a singlet solution in all cases, suggesting that the  $[\text{Cu(III)Sal}]^+$  state is electronically lower in energy than the antiferromagnetically coupled  $[\text{Cu(II)Sal}^*]^+$  state. The free energies of the singlet and triplet states for  $[\text{CuSal}]^+$  were computed from the gas-phase optimized structures as a sum of electronic energy, thermal corrections to free energy, and free energy of solvation. Single-point calculations for energetic analysis were performed using the BLYP<sup>81</sup> functional and the TZVP basis set of Ahlrichs<sup>82</sup> on all atoms with a PCM for  $\text{CH}_2\text{Cl}_2$  (dielectric  $\epsilon = 8.94$ ).<sup>67</sup> AOMIX<sup>42–44</sup> was used for ECDA, as well as for determining atomic orbital compositions using Mulliken Population Analysis and Mayer<sup>41</sup> bond orders. The intensities of the 30 lowest-energy electronic transitions were calculated by TD-DFT<sup>54</sup> with the BLYP functional and TZVP basis set on all atoms. A complete list of the calculated transitions is available.<sup>48</sup>

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**Supporting Information Available:** Complete ref 80; experimental details including the CIF file for the X-ray structure of  $[\text{CuSal}]^+\text{SbF}_6^-$ , solid-state magnetic data for **CuSal** and  $[\text{CuSal}]^+\text{SbF}_6^-$ , Cu L-edge XAS photoreduction plots for  $[\text{CuSal}]^+$  and  $[\text{PPh}_4][\text{Cu-}N,N'\text{-}o\text{-phenylene-bis(methylamide)}]$ , EXAFS fit results for **CuSal** and  $[\text{CuSal}]^+$ , VT  $^1\text{H}$  NMR of  $[\text{CuSal}]^+\text{SbF}_6^-$ , concentration-dependent UV–vis studies on  $[\text{CuSal}]^+\text{SbF}_6^-$ , and VT solution susceptibility and absorption spectroscopy for  $[\text{CuSal}^{\text{red}}]^+$ ; computational details including the  $[\text{CuSal}]^+$  triplet spin density, TD-DFT excitation energies and oscillator strengths, orbital constituent analysis, and the Cartesian coordinates for the geometry-optimized **CuSal**,  $[\text{CuSal}]^+$  (singlet), and  $[\text{CuSal}]^+$  (triplet) species. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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